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<b>(21) International Application Number:</b> PCT/US96/00741 <b>(22) International Filing Date:</b> 19 January 1996 (19.01.96)  <b>(30) Priority Data:</b> 08/375,778      20 January 1995 (20.01.95)      US  <b>(71) Applicant:</b> RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY [US/US]; Old Queens Building, Somerset and George Streets, New Brunswick, NJ 08903 (US).  <b>(72) Inventors:</b> LAM, Eric; 19 Corona Road, East Brunswick, NJ 08816 (US). MITTLER, Ron; 766 Bevier Road, Piscataway, NJ 08854 (US).  <b>(74) Agent:</b> HONE, William, J.; Fish & Richardson P.C., Suite 2800, 45 Rockefeller Plaza, New York, NY 10111 (US).		<b>(81) Designated States:</b> AM, AU, BB, BG, BR, BY, CA, CN, CZ, EE, FI, GE, HU, JP, KG, KP, KR, KZ, LK, LR, LT, LV, MD, MG, MN, MX, NO, NZ, PL, RO, RU, SI, SK, TJ, TT, UA, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> TRANSGENIC PLANTS AND PLANT CELLS WITH ENHANCED PATHOGEN RESISTANCE AND RELATED METHODS  <b>(57) Abstract</b>  Provided by this invention are transgenic higher plants and cells thereof which have been transformed with the bacterio-opsin (bO) gene to provide greater resistance to pathogen attack. Also, provided are bO vectors for forming the transgenic plants and cells thereof. Additionally, a method for enhancing the resistance of higher plants and cells thereof by attack by one or more pathogens is provided.		

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5                    TRANSGENIC PLANTS AND PLANT CELLS WITH  
                     ENHANCED PATHOGEN RESISTANCE AND RELATED METHODS

10           FIELD OF INVENTION

15           This invention relates to transgenic higher plants and transgenic cells  
             thereof wherein the transgenic cells have been transformed with a bacterial  
             gene encoding bacterio-opsin (bO). The transgenic plants formed show an  
20           enhanced resistance to withstand pathogenic attack. Additionally, the invention  
             provides a method to enhance resistance in higher plants to pathogenic attack.

25           BACKGROUND OF INVENTION

30           A great deal of damage is done to higher plants, including crop plants,  
             by pathogenic organisms. Plants are known to have certain natural defenses  
             against pathogens. However, there is often an inability of the plants to recog-  
             nize the pathogen to cause the defenses of the plants to be induced.

35           There is great economic loss caused by pathogenic attack against higher  
             plants in which the natural defenses of plants are inadequate or fail to respond  
             and defend the plants against damage by pathogens.

40           As used herein, the term "higher plant" refers to a multicellular differen-  
             tiated organism that is capable of photosynthesis, such as angiosperms and  
             multicellular algae. The term does not include microorganisms, such as bac-  
45           teria, yeast, and fungi. The term "plant cell" includes any cell derived from a  
             plant; this includes undifferentiated tissue such as callus, as well as plant seeds,  
             pollen, or plant embryos.

5           It is known that higher plants have a general plant defense mechanism  
against plant pathogens. At the present, the mechanism that triggers the activa-  
tion of plant defense mechanisms and induces systemic resistance is not known.  
10       It is known that pathogenic attack can cause in plants the synthesis of high  
levels of Pathogenesis-Related (PR) proteins. Among these, PR-1 is usually  
15       synthesized in response to viral attack; PR-2 encodes a  $\beta$ -1,3-glucanase, which  
may serve as an anti-bacterial/fungal enzyme; PR-3 encodes an anti-fungal  
enzyme, chitinase (Linthorst, 1991); Phenylalanine ammonia-lyase (PAL), a  
20       key enzyme in the phenylpropanoid pathway that is involved in the biosyn-  
thesis of phenolic compounds (Bowles, 1990).

          Transgenic plants of this invention contain much higher levels of PR  
25       proteins and salicylic acid (SA) than corresponding wild-type plants, and  
accumulate UV-fluorescence compounds (accumulation of UV fluorescence  
compounds is a well documented anti-microbial process that is part of the plant  
30       defense mechanism). Higher endogenous levels of SA are known to function as  
a signal for systemic acquired resistance against a broad spectrum of pathogens  
(including virus, bacteria and fungi) in plants. Upon challenge with viral and  
35       bacterial pathogens, it has been found that the transgenic plants provided by  
this invention show heightened disease resistance, similar to systemic acquired  
40       resistance.

          The following is a list of designations used herein and the designations  
have the following definitions:

45           bO gene - bacterio-opsin gene

          Cab-t - chlorophyll a/b binding protein transit peptide

50           GUS -  $\beta$ -glucuronidase coding region

          HR - Hypersensitive Response

5 NOS - nopaline synthase gene

$\Omega$  - synthetic omega translation enhancing sequence

PCR - polymerase chain reaction

10 PR - Pathogenesis Related Proteins, for example, PR-1, PR-2 and PR-3

SA - salicylic acid

15 SAR -systemic acquired resistance

TMV - tobacco mosaic virus

TNV - tobacco necrosis virus

20 WT - wild-type plants (plants which are not transgenic)

### SUMMARY OF THE INVENTION

25 Provided by this invention is a process to provide higher plants with enhanced resistance to pathogenic attack by one or more plant pathogens by transforming cells of higher plants with the bO gene. The bO gene is present in  
30 the bacteria *Halobacterium halobium*. The plants can be transformed using various means including using vectors, such as binary vectors, as illustrated in FIG. 1.

35 Provided also are higher plant cells transformed to encode the bO gene, resulting in enhanced resistance to the pathogenic attack by one or more plant  
40 pathogens.

Also, provided are transgenic higher plants which encode the bO gene resulting in higher plants having enhanced resistance to pathogenic attack by  
45 one or more plant pathogens.

50 Provided also are bO vectors which can be used to transform the cells of higher plants according to the invention.

## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 are diagrams showing: (1) the binary vector EL-301 having a bO gene segment, a 35S segment which is a promoter fragment (800 base), an "Ω" segment which is the omega translation enhancing segment sequence, and a Cab-t segment which represents Cab-transit peptide; (2) the RM-102 vector which corresponds to the EL-301 vector except it does not have the Cab-transient peptide segment; transgenic plants transformed using these vectors form spontaneous lesions (lesion mimic); (3) RM 101 vector corresponding to EL-301 vector except the bO segment of EL-301 has been replaced with the GUS (β-glucuronidase) coding region, RM-101 is a control vector (this vector when used to transform plants was found to provide plants indistinguishable from control SR-1 plants); and (4) EL-RM-XX vectors for pathogen driven hypersensitive response in transgenic plants; the pathogen-responsive promoter (PRP segment) will cause localized pathogen derived high expression of the bO gene, resulting in the formation of lesions (much like the spontaneous lesions formed on transgenic plants that express high levels of the bO gene).

FIG. 2A shows the structure of EL binary vector.

FIG. 2B shows screening of transgenic tobacco plants that express the bO gene using RNA gel blot analysis with a probe to the bO gene (top panel) and with a probe to the 18S ribosomal RNA (bottom panel, as control).

FIG. 3A shows a leaf of a lesion mimic phenotype of a high expressor demonstrating the appearance of spontaneous lesions on a tobacco plant leaf.

FIG. 3B shows a leaf of a lesion mimic phenotype of a high expressor demonstrating the appearance of spontaneous lesions on a tobacco plant stem.

FIG. 4 is a bar graph having lanes 1-4 showing SA levels in leaves of control wild-type (WT) and transgenic (EL301) plants expressing the bO gene.

5 Plant material was collected from upper (no lesions) and lower (with lesions)  
leaves of 5-week-old bO expressing plants and wild-type plants. Leaf tissue  
(0.3 g) was analyzed for total SA content as described in Methods section  
10 appearing below. The data presented are the mean and standard deviation of  
five individual samples gFW, grams fresh weight, of tissue.

15 FIG. 5 shows expression of genes encoding PR proteins and phenyl-  
alanine ammonia-lyase (PAL) in transgenic tobacco plants expressing the bO  
gene. Expression of PR proteins and PAL was compared between various  
20 leaves of a greenhouse grown wild-type plant (WT, 1 to 8, top to bottom) and a  
transgenic tobacco plant expressing the bO gene (EL 301A, 1 to 9, top to bot-  
tom). Occurrence of spontaneous lesions is indicated (+) on the bottom leaves  
25 of the bO-expressing transgenic plant. Leaves obtained from top (leaf number  
1) to bottom (leaf number 8 or 9) of a wild-type (WT) and a transgenic (RI  
progeny of EL 301) Samsun NN greenhouse-grown plants were analyzed by  
30 RNA gel blots and immunoblots. Total RNA (30  $\mu$ g) was loaded in each lane,  
and hybridization was conducted with the probes indicated at left. PR-1, PR-2  
and PR-3 transcripts were detected with the corresponding tobacco cDNAs as  
35 probes and the level of PAL transcript with a corresponding parsley cDNA as a  
probe. PR-1 protein was detected via immuno-blot with a monoclonal anti-PR-  
40 1 antibody.

45 FIG. 6 is a protein gel analysis showing occurrence of PR proteins in the  
intercellular fluid of leaf tissue with spontaneous lesions (EL 301, with lesions)  
or without lesions (EL 301, no lesions) from bO-expressing plants. The level  
of PR proteins is compared with the intercellular fluid from mock infected (WT  
50 mock) and TMV infected (WT TMV) wild-type Samsun NN plants. Intercellu-  
lar fluid was collected and analyzed according to Lawton et al. (1993), The

5 molecular biology of systemic acquired resistance. In Mechanisms of Plant  
Defence Responses, B. Fritig and M. Legrand, eds (Dordrecht, Netherlands,  
Kluwer Academic Publishers), pp. 422-432.

10 FIG. 7 shows the accumulation of UV-fluorescence compounds in  
leaves of an EL-301 transgenic plant:

- 15 7A - Control WT leaf
- 7B - The same WT leaf showing no occurrence of UV-fluorescence  
compounds
- 20 7C - Transgenic EL-301 leaf with spontaneous lesions
- 7D - The same EL-301 leaf showing accumulation of UV-fluores-  
cence.

25 FIG. 8 are photographs of leaves of tobacco plants showing heightened  
disease resistance of transgenic plants expressing bO to TMV and TNV infec-  
tion:

- 30 8A (a) Control WT tobacco infected with TMV
- 8B (b) Equivalent leaf of a transgenic (R1 progeny of EL 301A)  
plant infected with TMV
- 35 8C (c) Control WT tobacco infected with TNV.
- 8D (d) Equivalent leaf of a transgenic (R1 progeny of EL 301A)  
40 plant infected with TNV.

45 FIG. 9A is a protein gel and FIG. 9B is a corresponding immuno-blot  
probed with an anti-TMV coat protein serum, demonstrating inhibition of TMV  
replication in the infected leaf of two individual bO expressing plants compared  
to two WT plants. FIG. 9C is a bar graph showing inhibition of *P.s. tabaci*  
50 growth in leaves of bO-expressing Samson (EL 301) and SR1 (RM 102; FIG. 1



5 for vector description), plants, indicating an increased disease resistance compared with the corresponding wild-type plants (WT Samsun, WT SR1). No bacterial growth was found in mock-inoculated plants. The data presented are  
10 the mean and standard deviation from five individual leaves. Conditions for pathogen infection and analysis are described in Methods, CFU, colony-forming unit.

## 20 DETAILED DESCRIPTION OF THE INVENTION AND ITS PREFERRED EMBODIMENTS

In an illustrative preferred embodiment of the invention, cells of tobacco  
25 plants are transformed with the bO encoding gene. The transformed plants show enhanced capability to withstand pathogenic attack.

The bO gene is derived from the bacterium *Halobacterium halobium*.  
30 The bO gene is described in an article by Nassal et al., 1987.

It has been found that the bO gene material can be introduced into the tobacco or other higher plant cell in a vector that can be mobilized into plant  
35 cells via *Agrobacterium tumefaciens*, such as by a binary vector as shown in FIG. 1. The bO gene material can also be introduced by other known methods, such as by use of a "gene gun".

40 The vector structure (EL-301) shown in FIG.1 is as follows:

35S - Ω - Cab-t - bO - Nos 3'

45 The vector was assembled using known methods of biology including restriction digests, ligation and PCR. The nucleotide sequences of the designated vectors were determined to confirm the published structures.

5 Other bO vectors shown in FIG. 1 are RM-102 (Cab-t segment was  
deleted from the structure of EL-301 vector) and RM-101 (bO gene segment of  
EL-301 was replaced with GUS segment) were likewise assembled. EL-RM-  
10 XX vectors (35S segment of EL-301 and RM-102 will be replaced with  
promoters known to be activated upon pathogen attack). They have the  
following structures:

15 RM-102

35S - Ω - bO - Nos 3'

20 RM-101

35S - Ω - Cab-t - GUS - NOS 3'

EL-RM-XX

25 (1) PRP - Ω - Cab-t - bO - Nos 3'

(2) PRP - Ω - bO - Nos 3'

30 The backbone vectors were purchased through commercial sources (SK  
pBluescript from Stratagene and pBI 100 series from Clontech). The following  
is a description of other sources and methods used to obtain the different com-  
35 ponents of the construct:

- 40 a. 35S promoter of cauliflower mosaic virus was obtained by restriction digest  
from the pBI121 vector.
- b. Chlorophyll A/B binding protein-transit peptide was obtained by PCR from  
total soybean genomic DNA.
- 45 c. Omega translation enhancer sequence was obtained by PCR based on  
published sequence.

- 5 d. bO gene was subcloned from a plasmid containing an artificial gene encoding the bO protein (given by Dr. Mark P. Krebs of Dr. Gobind Khorana's laboratory).
- 10 e. termination signal was obtained by restriction digest of the pBI121 vector.
- 15 f. GUS open reading frame was obtained by restriction digest of the pBI121 vector. All portions of the constructs (Figure 1) were initially cloned into pBluescript and sequenced and the final construct was then mobilized into the pBI100 backbone binary vector.

20 In illustration, the EL-301 bO gene vector structure was constructed by the following procedure: The chlorophyll a/b transit peptide (Cab-t) coding region was amplified by polymerase chain reaction from total genomic soybean DNA and fused to the bO gene (gift of G. Khorana, Massachusetts Institute of Technology, Cambridge, MA; Nassal et al., 1987). The Cab-t/bO fusion gene was then fused to a synthetic  $\Omega$  translation enhancing sequence and inserted downstream from the cauliflower mosaic virus 35S promoter (Figure 1A). The 35S -  $\Omega$  - Cab-t - bO - Nos (noapline synthase) 3' construct was inserted into a T-DNA pBI100 backbone plasmid (Clontech) and mobilized into tobacco (*Nicotiana tabaccum* cv Samsun NN) plants via *Agrobacterium tumefaciens* mediated transformation (Fraley et al., 1985). Individual transformants were screened for the expression level of the bO transgene by RNA gel blot analysis. Other bO gene vectors such as EL-301 vector variants can be conducted which are effective in providing enhanced capability by plants to withstand pathogenic attack.

45 The following is a discussion of biological mechanisms or pathways involved in the increased resistance of higher plants transformed with this invention.

50

5           During the HR, the recognition of a pathogen induces a rapid cell death  
process that results in the formation of a zone of dead cells around the site of  
infection. This HR lesion is believed to inhibit further spread of the pathogen  
10       and to generate a signal that activates host defense mechanisms and, in many  
cases, induces long-lasting systemic resistance to a broad spectrum of patho-  
15       gens (Ross, 1961). Induction of such systemic resistance is termed systemic  
acquired resistance (SAR) and is accompanied by an increase in the rate of  
synthesis of several pathogenesis-related (PR) proteins and the accumulation of  
20       salicylic acid (SA) (Malamy et al., 1990; Metraux et al., 1990; Ward et al.,  
1991). In certain instances, however, at least some of these host defense  
mechanisms can be activated in the absence of a pathogen. These include a  
25       variety of maize, barley, tomato, and arabidopsis mutants that develop spon-  
taneous lesions similar to lesions caused by a pathogen attack. These mutants  
are often termed "disease lesion mimics" and are thought to develop lesions that  
30       resemble necrotic disease symptoms or HR lesions in the absence of a pathogen  
(Neuffer and Calvert, 1975; Hoisington et al., 1982; Walbot et al., 1983;  
35       Wolter et al., 1993). The occurrence of these mutants, especially mutants that  
spontaneously develop lesions that resemble HR lesions (HR-type lesions),  
provides evidence for the involvement of a genetically defined pathway for cell  
40       death during the HR. Thus, plants may contain a pathway for cell death that  
can be spontaneously activated in the absence of a pathogen.

45           It has been demonstrated recently that in some of the Arabidopsis lesion  
mimic mutants the appearance of HR-type lesions is also coordinated with acti-  
vation of host defense mechanisms, such as PR protein induction, phytoalexin  
50       production, and accumulation of SA (Dietrich et al., 1994; Greenberg et al.,  
1994). Interestingly, as many as 60 to 80 different loci specifying a lesion

5 mimic phenotype were identified in maize (Pryor, 1987; Walbot, 1991). Such a  
large number of different loci may suggest that the spontaneous activation of  
10 the HR cell death program is not only the outcome of mutations in a specific  
pathogen recognition gene but may also be the result of mutations that alter  
cellular homeostasis. The unbalanced biochemical state induced by such a  
15 mutation may be misinterpreted by host cells for a pathogen infection, resulting  
in the triggering of the HR cell death pathway (Dietrich et al., 1994). Addi-  
tional support for this model stems from reports that the perturbation of the  
ubiquitin-dependent protein degradation pathway (Bachmair et al., 1990;  
20 Becker et al., 1993) and expression of certain transgenes in tobacco plants  
(Takahashi et al., 1989; Elkind et al., 1990) induce lesion formation. However,  
in addition to lesion formation, these plants exhibit several other phenotypes,  
25 including abnormal or suppressed growth. This may indicate that perturbing  
cellular homeostasis by the expression of these transgenes and by inhibiting the  
ubiquitin system may have resulted in a general nonspecific effect on cellular  
30 metabolism. In addition, none of the genes responsible for the "disease lesion  
mimic" phenotype has been cloned.

35 By this invention, the expression of the bacterial proton-channel bO  
gene illustrated in transgenic tobacco results in a phenotype that is very similar  
40 to the "disease lesion mimic" mutants. HR-type lesions were formed and  
multiple defense mechanisms were activated in the absence of a pathogen.  
Transgenic plants expressing the bO gene also exhibited heightened disease  
45 resistance against certain viral and bacterial pathogens (FIGS. 8 and 9).  
However, in contrast to other transgenes that induce lesions, bO-expression did  
not result in abnormal or suppressed growth except in cases of very high  
50 overexpression.

## RESULTS

Expression of bO gene in higher plants has been shown to result in a "disease lesion mimic" phenotype. A gene was introduced from *Halobacterium halobium* encoding bO (Krebs and Khorana, 1993) into tobacco (*Nicotiana tabacum* cv. Samsun NN). Functioning as a light-driven proton pump that utilizes a different light spectrum from that used by the photosynthetic apparatus of higher plants, the bO proton-pump may increase the photosynthetic capacity of these plants when supplied with its chromophore retinal. In order to direct the bO protein into the thylakoid membrane, the bO gene was fused to the soybean chlorophyll a/b binding protein transit peptide (Figure 1A, EL 301, Cab-t). Different families of transgenic tobacco plants were generated that vary in the level of expression of the Cab-t/bO fusion gene, presumably due to insertion of the gene into different regions of the plant genome and/or different copy numbers of the transgene (Figure 1B). Transgenic plants expressing the bO gene were found to contain detectable levels of the mature bO protein (Figure 1C) indicating that the Cab-t/bO fusion protein was processed to its mature form in plants.

Introduction of the bO gene into the tobacco genome produced a phenotype very similar to that of the "disease lesion mimic" mutants (Fig. 2A and B). Transgenic tobacco plants expressing the bO gene developed distinct HR-type lesions on leaves and stems. However, these plants did not exhibit growth or developmental abnormality. The number and size of spontaneous lesions were roughly correlated with the expression level of the bO transgene.

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5       **Formation of spontaneous lesions is coordinated with activation of host defense mechanisms**

10       Since the appearance of spontaneous lesions in the transformed plants indicated that the introduction of the bO gene into the tobacco genome may have activated some general plant defense mechanisms, the expression levels of several PR proteins were examined. Transgenic plants expressing the bO gene  
15       produced high levels of PR proteins in a constitutive manner both in tissue culture and under normal growth conditions (FIG. 5). Among these: PR-1 is usually synthesized in response to viral attack; PR-2 encodes a  $\beta$ -1,3-glucanase which may serve as an anti-bacterial/fungal enzyme; and PR-3  
20       encodes an anti-fungal enzyme, chitinase (Linthorst, 1991). Phenylalanine ammonia-lyase (PAL), a key enzyme in the phenylpropanoid pathway involved in the biosynthesis of phenolic compounds (Bowles, 1990), also appeared to increase in its expression level. Several PR proteins were found in the  
25       intercellular fluid of leaf tissue from bO-expressing plants (FIG. 6). The pattern of synthesis of these PR proteins was similar to that occurred in TMV-infected Samsun NN wild-type plants, supporting the similarity observed between the spontaneous lesions and TMV-induced lesions. This similarity  
30       also suggests that expression of the bO gene may trigger the same host defense mechanism that is naturally activated by a TMV infection. In addition, as shown in FIG. 4, both the lower (lesion containing) and upper (no lesions) leaves of bO-expressing plants contained very high levels of SA compared to  
35       wild-type tobacco. Since SA is thought to mediate the process of SAR in tobacco (Enyedi et al., 1992), this observation is consistent with a constitutive activation of SAR in bO-expressing plants. Furthermore, the appearance of  
40       spontaneous lesions was accompanied by accumulation of autofluorescent  
45  
50

5 compounds around the lesions and in the cell walls of collapsed cells within the  
lesions (FIG. 7). This may be indicative of cross-linked phenolics that serve to  
strengthen cell walls against an invading pathogen (Dietrich et al., 1994).  
10 Accumulation of autofluorescent material did not occur in response to  
wounding by dry ice, suggesting that the bO-derived lesions were not simply  
the result of cellular injury but rather appear to be the outcome of HR  
15 activation. Thus, introduction of the bO gene into the tobacco genome has  
been shown to result in the spontaneous activation of several components of the  
plant defense mechanism against an invading pathogen. These included the  
20 triggering of an HR-type cell death response in the absence of a pathogen,  
induction of several PR proteins, accumulation of autofluorescent material and  
high levels of SA. Activation of host defense mechanisms in the absence of a  
25 pathogen is similar to the activation of defense mechanisms in some of the  
"disease lesion mimic" mutants recently described in *Arabidopsis* (Greenberg et  
al., 1994; Dietrich et al., 1994).  
30

#### 35 Induction of systemic resistance against viral and bacterial pathogens

Several of the "disease lesion mimic" mutants exhibited a heightened  
disease resistance against a variety of pathogens (Wolter et al., 1993; Green-  
40 berg et al., 1994; Dietrich et al., 1994). To ascertain whether the constitutive  
activation of plant defense mechanisms in bO-expressing plants confers disease  
protection, bO-expressing plants were challenged with TMV and tobacco  
45 necrosis virus (TNV). Transgenic tobacco plants expressing the bO gene  
developed very few HR lesions in response to a TMV challenge and success-  
fully blocked TNV necrotic symptoms (FIG. 8). These results are very similar  
50 to the response of SAR-induced tobacco plants upon subsequent pathogen



5 infection (Ross, 1961; Ward et al., 1991). Thus, the formation of few HR  
lesions upon TMV challenge and the blocking of TNV necrotic symptoms are  
10 indicative of a heightened disease resistance state induced by expression of the  
bO gene. Further testing was done on whether the formation of only a few HR  
lesions on the infected leaf of bO-expressing plants was the outcome of suc-  
15 cessful inhibition of virus replication. As shown in Figures 9A and 9B, bO-  
expressing plants were superior to wild-type plants in their ability to block the  
replication of TMV in the infected leaf. This inhibition of TMV replication  
20 may explain the formation of very few HR lesions on plants with induced  
systemic resistance. The amount of TMV particles in the infected leaves of  
these plants may be insufficient to trigger the HR response.

25 The resistance of bO-expressing plants to a bacterial challenge was  
tested. Transgenic plants expressing the bO gene exhibited a higher degree of  
resistance to the bacterial pathogen *Pseudomonas syringae* pv. *tabaci*. As  
30 shown in FIG. 9C, plants expressing the bO gene successfully blocked the  
growth of bacteria.

35 These results show that the heightened disease resistance exhibited by  
bO-expressing tobacco plants is similar to pathogen-induced SAR (Ross, 1961;  
Ward et al., 1991). This observation suggests that expression of bO in higher  
40 plants may be misinterpreted by the plant for a pathogen challenge and that the  
bO gene action may be similar to some of the "disease lesion mimic" mutations  
(Greenberg et al., 1994; Dietrich et al., 1994).

#### 45 Functional analysis of bO in plants

50 Bacterio-opsin is a light driven proton-channel that requires the presence  
of the chromophore retinal in order to function (Krebs and Khorana, 1993).

5 However, retinal is not thought to be present in higher plants. It is therefore  
puzzling and unpredictable that the bO protein may have such a dramatic effect  
on plants. It is possible that the bO protein, when expressed in plants, may still  
10 function as a passive proton channel which causes leakage of protons. In order  
to address the question of bO protein function and to test if this function may  
involve some proton-pumping properties of the bO protein, a mutated form of  
15 bO was expressed that has a single amino acid substitution (Asp to Ala) at  
position 85 (D85A). This amino acid substitution renders the bO protein  
incapable of active proton pumping due to an alteration in the charge  
20 translocating path through the "proton channel" (Otto et al., 1990). However,  
this mutant preserves the ability to reconstitute with retinal to form a pigment  
that is very similar to wild-type bacteriorhodopsin. Thus, the protein folding  
properties of this mutant are likely not affected significantly (Krebs and  
25 Khorana, 1993). Transgenic plants expressing this D85A mutant did not  
develop spontaneous lesions, did not exhibit elevated levels of PR-1 and in  
contrast to bO-expressing plants did not show heightened disease resistance.  
However, transgenic plants expressing the D85A mutant did synthesize the  
35 mutated bO protein to similar levels as bO-expressing plants. These results  
suggest that the bO protein may require some active or passive proton-pumping  
properties for eliciting its lesion mimic phenotype.

40 It will, however, be understood by those skilled in the art that some  
mutated forms of bO will be effective to enhance the resistance of higher plants  
to transgenic attack.

45 In summary, transgenic plants expressing the bO gene exhibit many  
characteristics of a "disease lesion mimic" mutant. These include the formation  
50 of HR-type lesions, the activation of host defense mechanisms in the absence of

5 a pathogen and the induction of heightened disease resistance similar to pathogen-induced SAR (Greenberg et al., 1994; Dietrich et al., 1994).

10 Disease lesion mimic mutants were classified into two major groups: initiation mutants and feedback or propagation mutants (Walbot et al., 1983, Dietrich et al., 1994; Greenberg et al., 1994). Initiation mutants form lesions  
15 whose growth is determinate, therefore giving rise to lesions with a defined border. These mutants are thought to be defective in regulating the trigger of the HR response, and may either lack a negative regulator of HR activation (a  
20 recessive mutation; see lsd3, lsd5, Dietrich et al., 1994) or constitutively activate an HR signaling component (a dominant mutation, see lsd2, lsd4, Dietrich et al., 1994; Neuffer and Calvert, 1975). Propagation mutants form  
25 lesions that spread indeterminately, eventually resulting in the death of the entire leaf. These mutants are presumed to be defective in down-regulating the process of lesion progression (a recessive mutation; see lsd1, Dietrich et al.,  
30 1994; acd1, Greenberg and Ausubel, 1993; acd3, Greenberg et al., 1994). Transgenic plants expressing the bO gene mimic dominant initiation mutants. The dominant nature of the bO transgene also support the assumption that the  
35 bO action results in the constitutive activation of a pathogen response signal. Since the bO gene induced spontaneous lesion formation in several different tobacco cultivars (Samsun NN, Xanthi-nc nn and SR1, Mittler and Lam, un-  
40 published data) and in transgenic *Arabidopsis* plants (Marques and Lam, unpublished data), it is unlikely that it functions by mimicking the infection of a  
45 specific pathogen. The expression of the bO gene may therefore affect the activation of the pcd pathway at a relatively basic level that is common to dif-  
50 ferent plants.

5           It has been previously suggested that some of the dominant lesion initia-  
tion mutants perturb cellular homeostasis. The resulting unbalanced biochemi-  
cal state may be misinterpreted by the host cells as a pathogen infection and  
10       trigger the HR. Since bacteriorhodopsin is a light driven proton-pump, its ex-  
pression in higher plants may result in an artificial increase in proton-pumping  
activity that may perturb the natural ionic homeostasis of the cell. However,  
15       plants are presumed to lack retinal which is required for the active pumping of  
protons. In addition, *Halobacterium halobium* cells deficient in retinal biosyn-  
thesis accumulate mature bO protein, suggesting that at least in the bacterial  
20       system bO does not cause leakage of protons. However, the expression of the  
D85A mutant which is incapable of active proton-pumping does not result in a  
lesion mimic phenotype. This finding suggests that the bO protein may require  
25       some properties that involve proton translocation across membranes for elic-  
iting its action as a lesion inducer gene. It may be possible that expression of the  
bO gene in higher plants alters cellular homeostasis through unbalanced leak-  
age of protons. Such perturbation of cellular homeostasis may in turn trigger a  
30       HR pathway. It should be noted that the triggering of the HR in tobacco cells  
by certain bacterial pathogens requires the activation of a plasma membrane K<sup>+</sup>  
efflux/net H<sup>+</sup> uptake exchange. In addition, the induction of this altered bio-  
chemical balance depends on a plasmalemma H<sup>+</sup>-pumping ATPase activity  
40       (Atkinson and Baker, 1989). Alteration of the proton pumping homeostasis by  
the bO gene may induce a similar altered biochemical balance causing the  
spontaneous triggering of a HR pathway.

50           Since the bO gene functions as a lesion inducer in at least two different  
plant species and since induction of systemic resistance, similar to SAR, is ex-  
pected to confer disease protection against a variety of viral, fungal and bacte-

5 rial pathogens (Lawton et al., 1993), the bO gene may be useful as a general  
agronomic tool to enhance disease protection in different cultivars against a  
10 variety of pathogens. Application of the bO gene may involve screening for  
transgenic lines that express the bO gene at a moderate level, causing the for-  
mation of few spontaneous lesions, yet conferring disease protection. Alter-  
15 natively, inducible expression of the bO gene by a regulated promoter may  
allow controlled activation of the plant defense mechanism, thus mimicking the  
time for which the defense mechanism will be turned on.

#### 20 RNA isolation and analysis

Leaves were collected, frozen in liquid nitrogen and ground to a powder.  
25 RNA was then extracted as described by Nagy et al. (1987) and analyzed by  
northern blots. Northern blots were first hybridized with either the bO or PR  
and PAL probes and then with a probe for 18S rRNA. Northern blot hybridi-  
30 zation and membrane washing were performed using Duralose-UV membranes  
and quickHyb solution (Stratagene) as suggested by the manufacturer. PR1,  
PR2 and PR3 transcripts were detected with the corresponding tobacco cDNAs  
35 as probes (gift of Dr. D. Klessig), and the level of PAL transcript with a corre-  
sponding parsley cDNA as a probe (gift of Dr. K. Hahlbrock).

#### 40 Protein Isolation and Immunodetection

Immunodetection of PR-1, bO and TMV coat protein was performed by  
45 western blot analysis of total leaf protein with a chemiluminescence detection  
system (Renaissance kit from DuPont). Monoclonal Anti-PR1 antibody was a  
gift of Dr. D. Klessig, monoclonal anti-bO antibody was a gift of Dr. G.  
50 Khorana and anti-TMV coat protein serum was a gift of Dr. N. Turner. Detec-

5           tion of PR proteins in the intracellular fluid of bO-expressing or TMV infected tobacco plants was performed according to the Lawton et al. (1993).

#### 10           **Salicylic Acid Determination**

          SA was extracted from leaf samples (0.3g) and quantified by HPLC. Total SA (the sum of free and glucose-conjugated SA) was determined and  
15           corrected for SA recovery as previously described (Yalpani et al., 1993).

#### 20           **Pathogen Infection and Analysis**

          Fully expanded young leaves were infected with equal amounts of TMV strain U1 or TNV strain A, in 5 mM potassium phosphate buffer, pH 7, or  
25           mock infected with the same 5 mM phosphate buffer, by gently rubbing the leaves with carborundum. Plants were either kept at 22°C or 30°C under continuous light. Lesions were photographed 72 hours post-infection. No lesions  
30           developed on mock infected leaves of wild-type and transgenic bO-expressing Samsun NN plants. The progression of lesion formation following a temperature shift from 30°C to 22°C, of bO-expressing and TMV infected plants, was  
35           assayed by measuring ion leakage from leaf discs obtained at different time points following the shift. For each measurement, 5 leaf discs (7 mm diameter) were floated abaxial side up on 6 ml of distilled water for 4 hours at room  
40           temperature. Following incubation, the conductivity of the bathing solution was measured with a Model 604 (VWR Scientific) conductivity meter. Measurements for each time point were performed in triplicate. Inoculation of plants  
45           with *Pseudomonas syringae* pv. *tabaci* (ATCC 11528) was performed by infiltrating the leaves with a 1 ml syringe without a needle. Seventy-two hours  
50           following infiltration of leaves with bacteria (500 or 15,000 CFU/cm<sup>2</sup>, in

sterile distilled water), the leaves were surface sterilized with 20% bleach/0.1% tween 20 for 1 min and washed three times with sterile distilled water. Leaf discs (10 mm diameter) were taken from the infection site, ground in sterile distilled water, diluted and plated on nutrient agar. No bacterial growth was observed with leaf discs obtained from mock inoculated plants that were infiltrated with sterile water.

### Example

#### **Construction of an Expression Vector for the Overproduction of Bacterio-opsin in Higher Plants by Agrobacterium Mediated Transformation**

The construction of the EL301 vector consisted of two major parts. The first set of manipulations involved putting together a general vector (designated as EL301) for plant gene expression that incorporates the omega sequence of the Tobacco Mosaic Virus leader sequence into the 5' region of the desired transcript. As previous work by Gallie et al. (1987) have shown, this should substantially increase the translation efficiency of the RNA of interest. The second part consisted of the insertion of the synthetic bO gene (Nassal et al. 1987) fused to a sequence encoding the chloroplast-targeting transit peptide from the soybean Cab3 gene (Walling et al. 1988) into the polylinker sites that are present in our general expression vector EL103. The resultant vector was designated as EL301. The following is a detailed description of the steps involved.

**Part 1: Construction of the general expression vector for foreign protein production in higher plants.**

- a. The Omega sequence of TMV was amplified from pJII103 (Gallie et al. 1987) by PCR using two primers:

EL174 5'-GCTCTAGATATTTTACAACAATTACC-3'

EL175 5'-CGATCCGGATCCTGTCTCTTGA-3'

These primers introduce an XbaI site immediately upstream from the Omega sequence and a BamHI site down stream from the 3' end of Omega. These are underlined in EL174 and EL175, respectively.

- b. The amplified fragment was digested with the restriction enzymes XbaI and BamHI and was then subcloned into the vector pBI121 (purchased from Clontech Co.) at the same sites. This places the Omega sequence between the 35S promoter of CaMV (cauliflower mosaic virus) and the  $\beta$ -glucuronidase (GUS) reporter gene. A unique HindIII site is present upstream of the 35S promoter while an SstI site is present downstream of the GUS gene.

- c. The XbaI site was then removed by blunting with Klenow fill-in after digestion with XbaI. The polylinker region in the pBluescript II SK (Stratagene) enclosed by BamHI and SstI sites were then used to replace the GUS gene sequence using the BamHI and SstI restriction sites, giving rise to a construct called pZM24. This effectively replaced the GUS gene with a polylinker region containing the restriction sites BamHI, SpeI, XbaI, NotI, SacII and SstI.



5 d. The HindIII/EcoRI fragment (35S promoter-Omega-nos 3' terminator) from  
pZM24 was then exchanged with the 35S-GUS-nos 3' sequence in pBI121  
10 (purchased from Clontech Co.). The vector pBI121 is derived from pBIN19  
which is a disarmed Agrobacterium vector that allows for plant transforma-  
tion via this soil bacterium (Bevan, 1984). The final vector, designated as  
15 EL103, contains three unique restriction sites located between the Omega  
sequence and the Nos-3' terminator sequence from the nopaline synthase  
gene of the Agrobacterium T-DNA.

20 Part 2. Amplification of the Cab3 transit peptide from soybean genomic DNA  
and cloning of bO gene fusion into expression vector.

25 a. The genomic DNA of soybean (*Glycine max*, cv. Corsoy) was used to am-  
plify the transit peptide from the Cab3 locus (Walling et al., 1988). The  
primers used were:

30 EL60 5'-GATCGATATGGCAGCAGCTTCTTCC-3'

EL61 5'-GAAGCTTGCTCACCCTTCCACATCC-3'

35 The primers were designed to insert a ClaI site and a HindIII site at the 5'  
and 3' portion of this transit peptide sequence, as indicated by the under-  
lined nucleotides. After PCR under standard conditions (35 cycles of:  
40 94°C, 1 min.; 55°C, 1 min.; 72°C, 1 min.), the amplified fragment was di-  
gested with HindIII and ClaI. This fragment was designated as Cab-t.

45 b. The fragment was then ligated to a pBluescript SKII vector (cut with  
EcoRI/ClaI) and the bO gene plasmid from Dr. Knorana (cut with  
HindIII/EcoRI). The resultant fusion, designated as Cab-bO, between the  
50 Cab-t sequence and bO was confirmed by sequence analysis. A single base

5 difference was found in our PCR product from that of the published Cab3  
sequence. This changed a valine amino acid upstream from the predicted  
10 processing site into a cysteine residue.

15 c. The ClaI/SstI fragment containing the Cab-t/bO fusion gene was isolated  
from our clone Cab-bO and inserted into EL103. The ClaI site was blunted  
by Klenow fill-in and then the fragment isolated from Cab-bO after SstI di-  
20 gestion. This was subcloned into EL103 vector that has been cut with  
BamHI, filled-in with Klenow and then digested with SstI. The resultant  
clone is designated as EL301. This is a construct for overexpression of bO  
in higher plants.

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**Expression of bO in Arabidopsis thaliana also result in spontaneous lesions  
and induction of defense responses.**

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The construct EL301, described above, has been introduced into  
Arabidopsis thaliana (ecotype RLD) by Agrobacterium-mediated transforma-  
35 tion. The transformed plants showed spontaneous lesions in mature rosette  
leaves that exhibited similar morphology to those observed with our transgenic  
tobacco plants. Furthermore, when examined by fluorescence microscopy, they  
40 showed UV-fluorescent material in and around these lesion sites. This is  
similar to those observed in lesions arising from an incompatible interaction  
between plants containing resistance genes and pathogens containing the  
45 corresponding avirulence genes (Greenberg et al., 1994). We have also deter-  
mined the level of the major phytoalexin of Arabidopsis, called camalexin, in  
these transgenic plants containing the construct EL301. We found an approxi-  
50 mately 3 to 4 fold increase in camalexin levels in transgenic leaves without

5 visible lesions. In leaves showing a large number of spontaneous lesions, we  
observe about a 100 fold increase in camalexin levels. Since phytoalexins are  
10 antimicrobial compounds, it is expected that this overproduction should lead to  
significant increase in resistance to bacterial and fungal pathogens. The sali-  
cyclic acid (abbreviated as SA) content of our transgenic plants has been deter-  
15 mined since it is believed that SA is an important signal for the induction of  
plant defense genes. About a 20-fold increase has been found in SA content in  
transgenic Arabidopsis plants that expressed spontaneous lesions in response to  
20 the expression of bO. These results are consistent with expectation that bO  
expression can be used in divergent plant species to induce the latent defense  
responses that are normally activated only upon an incompatible interaction.

#### 25 Testing the effects of bO expression in monocots

30 Genetic mutants from certain monocot plant species, such as maize and  
barley, have been characterized which showed a disease lesion mimic pheno-  
type. One such locus of barley, called *mlo*, shows heightened resistance to  
35 fungal pathogens. This is similar to that observed with some of the lesion  
mimics found in dicots species, such as tomato and Arabidopsis. The bO trans-  
gene should induce spontaneous lesions as well as defense responses in  
40 monocots as well. The bO expression cassette is being introduced into  
turfgrass. The methodologies have been worked out for the construction of  
transgenic monocots, such as turfgrass (Hartman et al., 1994). For these  
45 species, the selection marker that will be used is the bacterial bar gene that  
confers resistance to Biolafox, a commercial herbicide.

5           The bO gene in like manner is being introduced into other monocot  
species, for example, maize and rice.

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**What is Claimed is:**

1. A transgenic plant cell which expresses a bacterial gene encoding bacterio-opsin (bO), whereby a plant having said transgenic cells has an enhanced capability to withstand pathogenic attack.
2. A transgenic plant cell of Claim 1 wherein the plant is a dicot species.
3. A transgenic plant cell of Claim 1 wherein the plant is a monocot species.
4. A transgenic plant having transgenic cells which express a bacterial gene encoding bO, whereby said plant is provided with superior capability to withstand pathogenic attack.
5. A transgenic plant of Claim 4 which is a dicot species.
6. A transgenic plant of Claim 4 which is a monocot species.
7. A method for enhancing resistance of a higher plant to attack by one or more plant pathogens by transforming cells of said plant with the bO encoding gene.
8. A method of Claim 7 wherein the plant is a dicot species.
9. A method of Claim 7 wherein the plant is a monocot species.

5           10. A method of Claim 7 wherein the cells of said plant were transformed using  
          a binary bO gene vector.

10           11. A method of Claim 10 wherein the binary bO gene vector is EL-301 or a  
          variant thereof.

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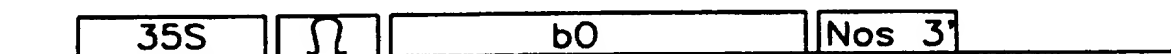
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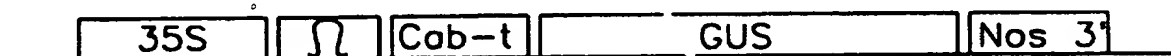
EL-301



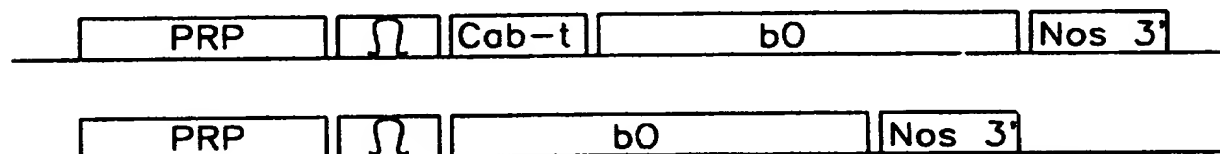
RM-102



RM-101



EL-RM-XX



PRP: PATHOGEN RESPONSIVE PROMOTOR

FIG. 1

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EL 301

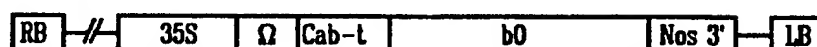


FIG. 2A

EL 301

N A M H K F E J B L D O Z

Cab-b0



18S



FIG. 2B

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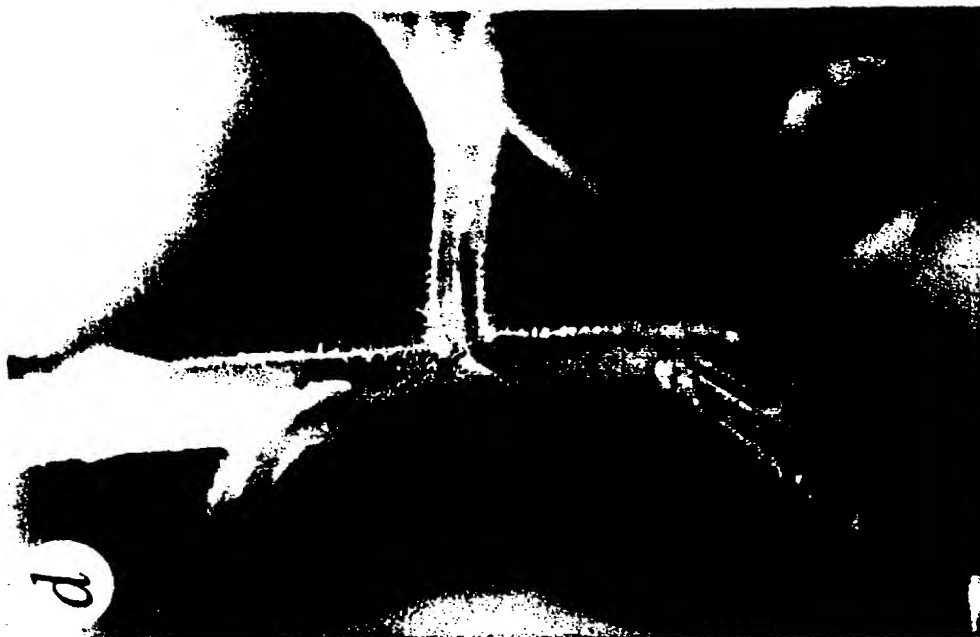


FIG. 3B



FIG. 3A

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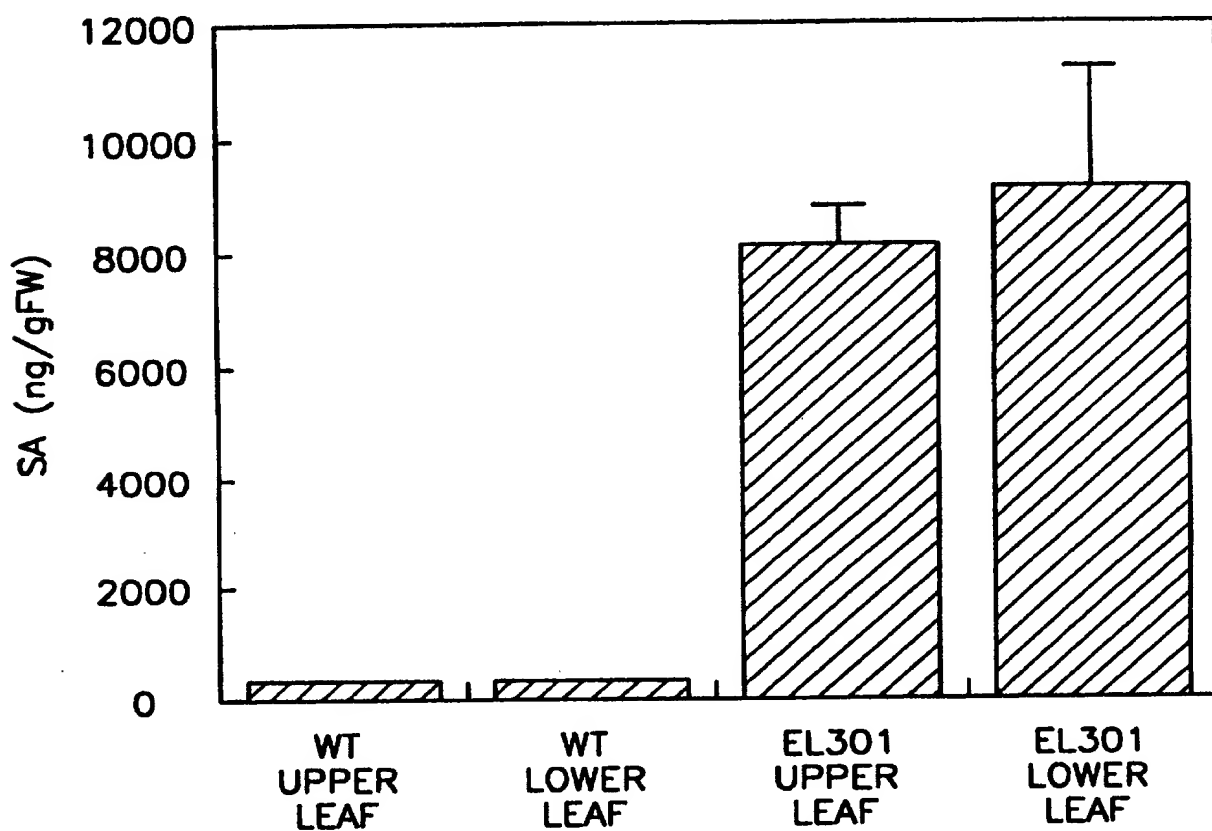


FIG. 4



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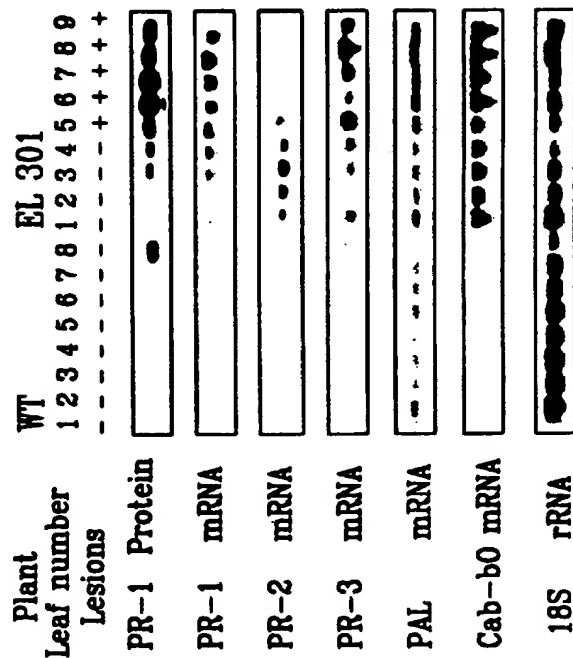


FIG. 5

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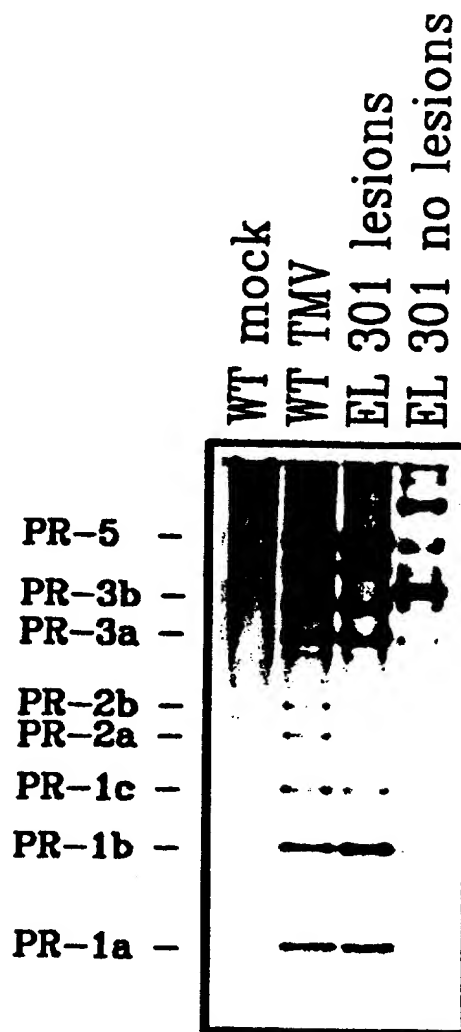


FIG. 6

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FIG. 7A

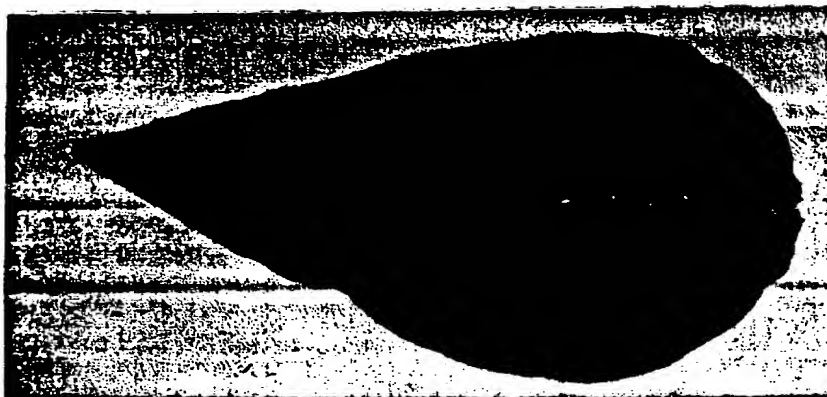
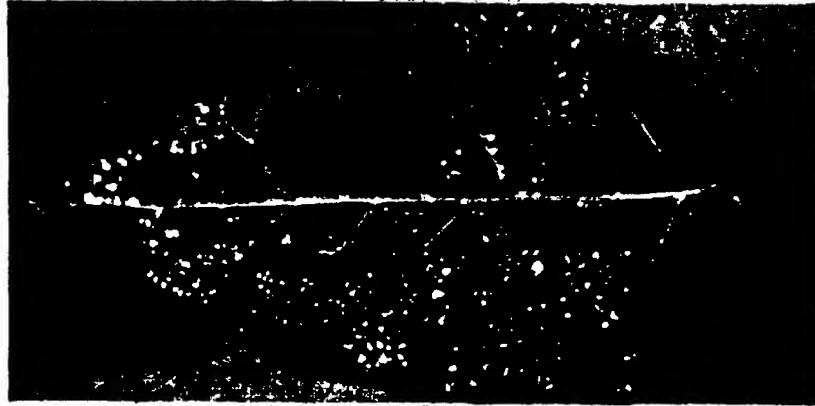
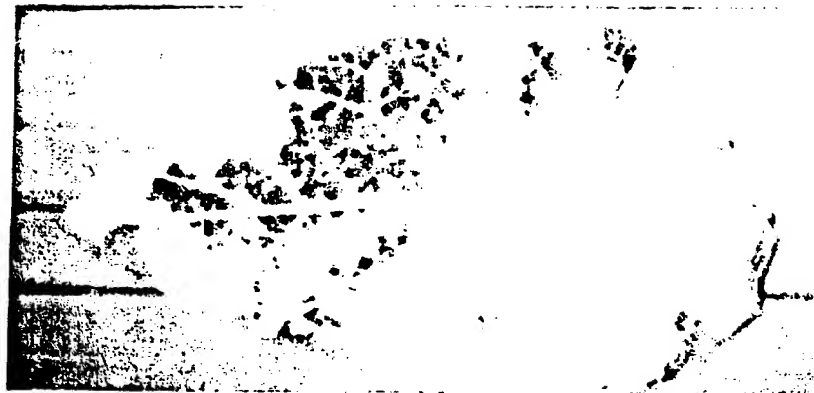


FIG. 7B

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F I G. 7C



F I G. 7D

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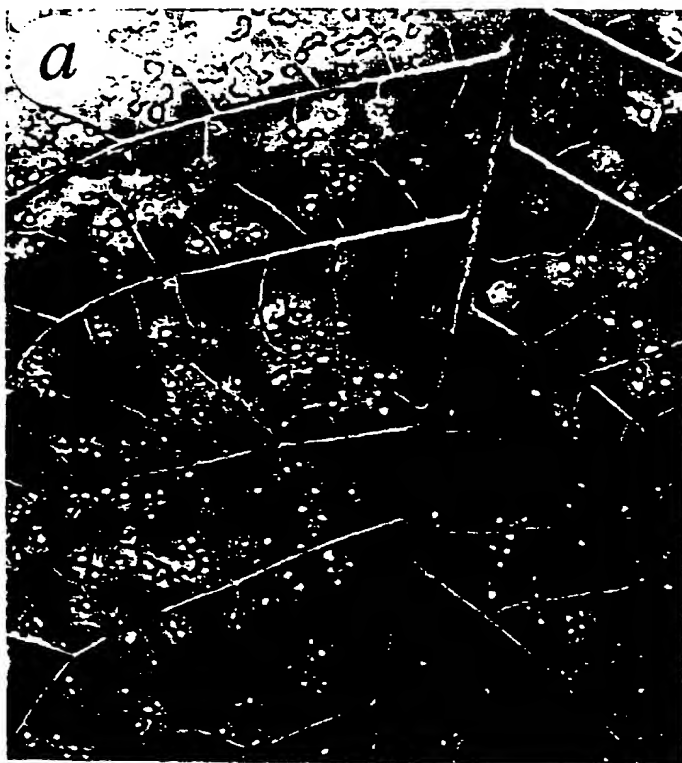


FIG. 8A

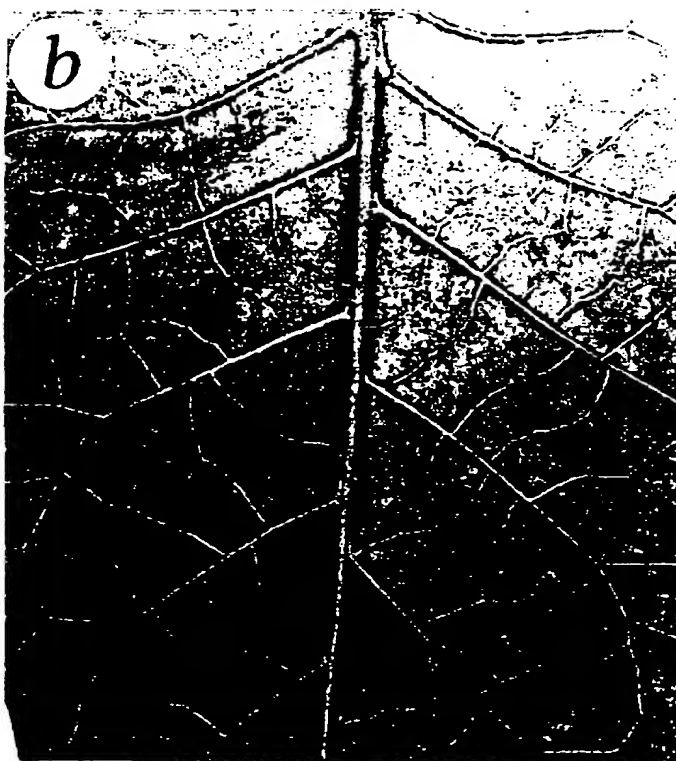


FIG. 8B

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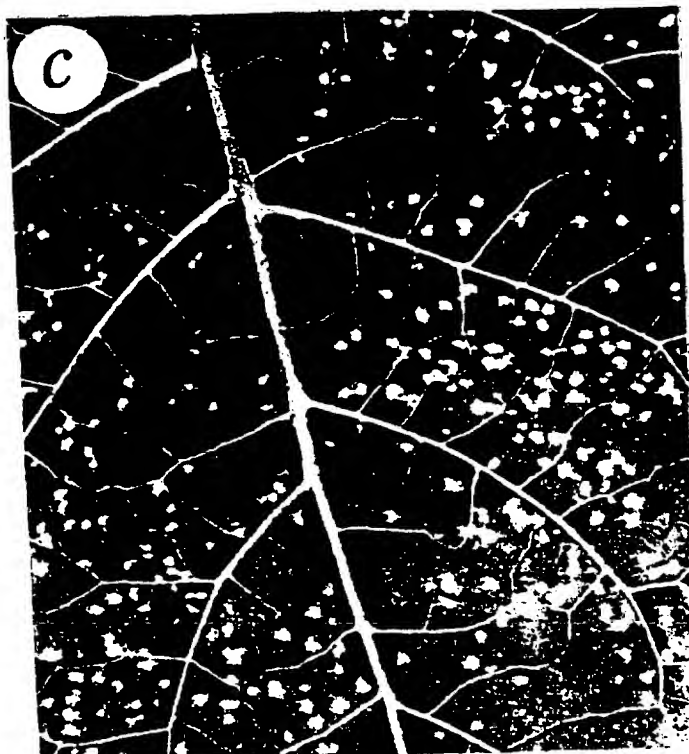


FIG. 8C

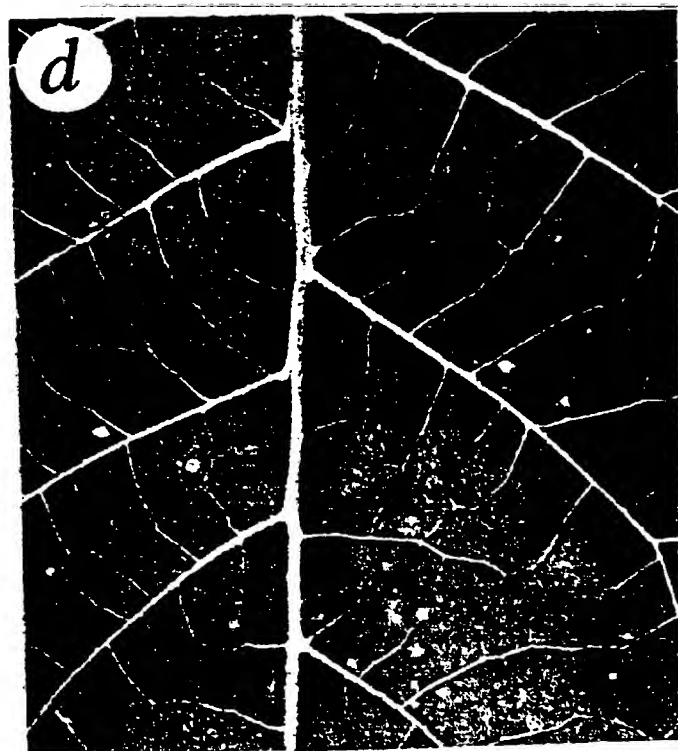


FIG. 8D

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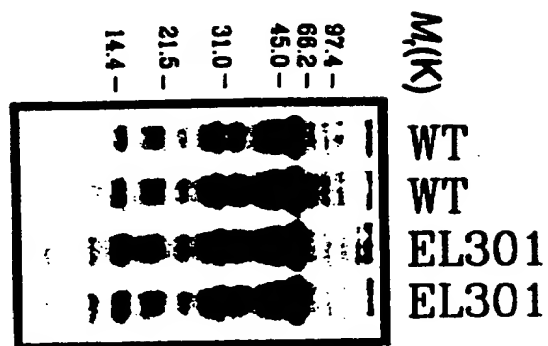


FIG. 9A

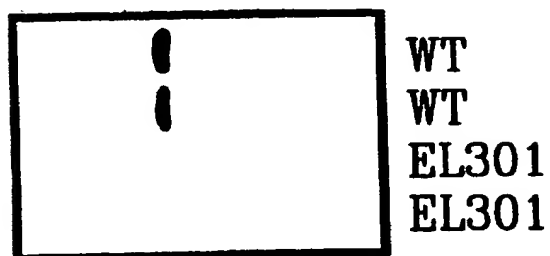
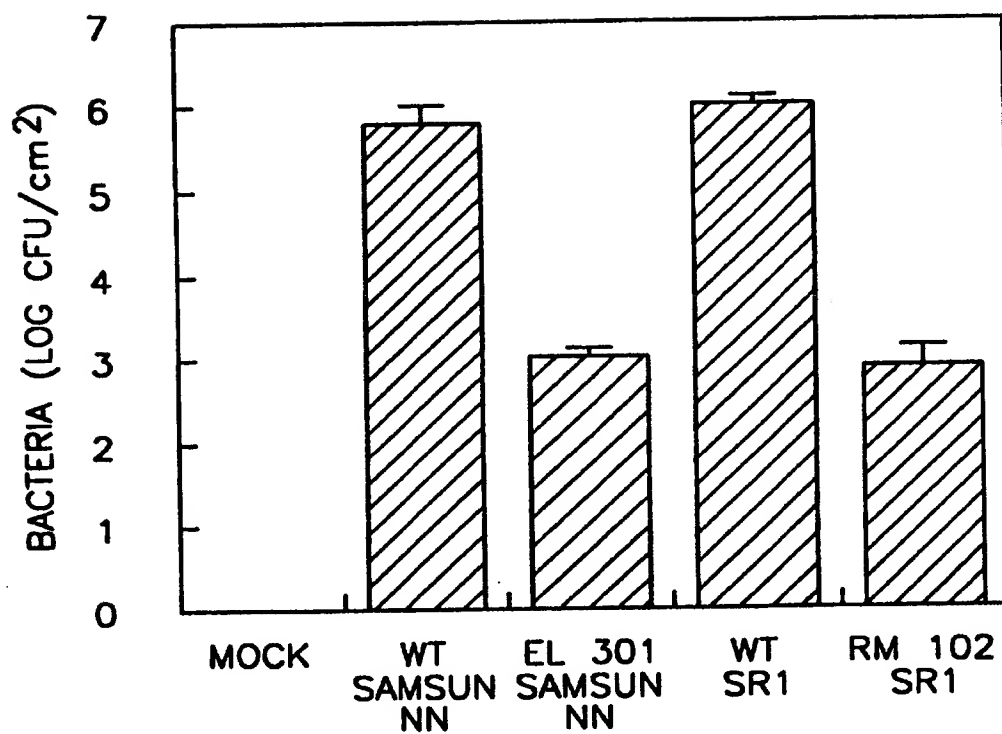


FIG. 9B

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F I G. 9C



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US96/00741

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) : A01H 5/00; C12N 5/04, 15/82

US CL : 800/205; 435/172.3, 240.4, 320.1

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 800/205; 435/172.3, 240.4, 320.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, DIALOG

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	SCIENCE, Volume 262, issued 26 November 1993, Martin et al., "Map-Based Cloning of a Protein Kinase Gene Conferring Disease Resistance in Tomato", pages 1432-1436, see page 1434.	1-11
Y	THE JOURNAL OF BIOLOGICAL CHEMISTRY, Volume 262, Number 19, issued 05 July 1987, Nassal et al., "Structure-Function Studies on Bacteriorhodopsin", pages 9264-9270, see page 9265.	1-11
Y	PLANTA, Volume 177, issued 1989, Steffens et al., "Vanadate mimics effects of fungal cell wall in eliciting gene activation in plant cell cultures", pages 160-168, see pages 160-161 and 166.	1-11

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

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"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

01 APRIL 1996

Date of mailing of the international search report

09 APR 1996

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# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US96/00741

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	BIO/TECHNOLOGY Volume 8, issued September 1990, Fromm et al , "Inheritance and Expression of Chimeric Genes in the Progeny of Transgenic Maize Plants", pages 833-839, see page 837.	3, 6, 9

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